**Decision to re-accredit service following a site audit**

**Service and approved provider details**

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| --- | --- |
| **Name of service:** | Uniting Wesley Heights Manly |
| **RACS ID:** | 2655 |
| **Name of approved provider:** | The Uniting Church in Australia Property Trust (NSW) |
| **Address details:**  | 47 Birkley Road MANLY NSW 2095 |
| **Date of site audit:** | 25 June 2019 to 28 June 2019 |

**Summary of decision**

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| --- | --- |
| **Decision made on:** | 07 August 2019 |
| **Decision made by**: | Authorised delegate of the Aged Care Quality and Safety Commissioner (Commissioner) under section 76 of the *Aged Care Quality and Safety Commission Act 2018* to decide under section 41 of the Aged Care Quality and Safety Commission Rules 2018 (Rules) about the accreditation of a service. |
| **Decision:** | To re-accredit the service under section 41 of the Rules. |
| **Further period of accreditation:** | 19 October 2019 to 19 October 2022 |
| **Number of expected outcomes met:** | 44 of 44 |
| **Expected outcomes not met:** | N/A |

**This decision is published on the Aged Care Quality and Safety Commission’s (Commission) website under section 48 of the Rules.**

Site Audit Report

Site audit

Name of service: Uniting Wesley Heights Manly

RACS ID: 2655

Approved provider: The Uniting Church in Australia Property Trust (NSW)

# Introduction

This is the report of a Site Audit from 25 June 2019 to 28 June 2019 submitted to the Aged Care Quality and Safety Commissioner (Commissioner).

There are four Accreditation Standards covering management systems, health and personal care, care recipient lifestyle, and the physical environment. There are 44 expected outcomes such as human resource management, clinical care, medication management, privacy and dignity, leisure interests, cultural and spiritual life, choice and decision-making and the living environment.

An approved provider of a service applies for re-accreditation before its accreditation period expires and an assessment team visits the service to conduct a site audit. The team assesses the quality of care and services at the service and collects evidence of whether the approved provider of the service meets or does not meet the Accreditation Standards. The site audit report is completed by the assessment team and outlines the team’s assessment of the approved provider’s performance in relation to the service. The approved provider may, within 14 days, give the Commission a written response to the report.

The Commission will make a decision whether to re-accredit or not to re-accredit the service, taking into account this site audit report, any response by the approved provider, and any other relevant information. In making a decision, the Commission must be satisfied that approved provider will undertake continuous improvement in relation to the service.

If the Commission makes a finding of non-compliance the Department of Health is notified.

All accredited services are subject to ongoing monitoring of compliance with the Accreditation Standards by the Commission.

# Scope of this document

A site audit against the 44 expected outcomes of the Accreditation Standards was conducted from 25 June 2019 to 28 June 2019.

This site audit report provides an assessment of the approved provider’s performance, in relation to the service, against the Accreditation Standards, and any other matters the assessment team considers relevant.

# Details about the service

|  |  |
| --- | --- |
| **Number of total allocated places** | 60 |
| **Number of total care recipients**  | 54 |
| **Number of care recipients on site during audit** | 54 |
| **Service provides support to specific care recipient characteristics** | N/A |

# Audit trail

The assessment team spent four days on site and gathered information from the following:

## Interviews

| Position title | Number |
| --- | --- |
| Regional manager | 1 |
| Service manager | 1 |
| Deputy service manager | 1 |
| Registered nurse | 6 |
| Chaplain | 1 |
| Leisure and lifestyle staff | 2 |
| Care recipients and/or representatives | 18 |
| Physiotherapist | 1 |
| Care staff | 9 |
| Administration | 1 |
| Client contracts officer | 1 |
| Catering, cleaning, laundry | 7 |
| Maintenance | 2 |

## Sampled documents

| Document type | Number |
| --- | --- |
| Care recipients’ files | 11 |
| Summary and/or quick reference care plans | 4 |
| Medication charts | 15 |

## Other evidence reviewed by the team

The assessment team also considered the following both prior to and during the site audit:

* Care manual
* Care recipient and representative handbook and information package
* Care recipient and visitor sign in/out registers
* Care recipients' lists
* Clinical documentation including progress notes, advanced care plans, assessments, care plans, medical notes, incident forms, pathology forms, wound charts
* Clinical indicator report
* Education records including education and training calendars, training attendance records and evaluations, competency assessments
* Fire safety and emergencies documentation including inspection records, fire safety statement, emergency evacuation management plan, emergency bag and contents and emergency evacuation signage
* Food safety records including food safety program, food safety monitoring records, care recipients’ dietary requirements and food preference information, menu and food safety audit report
* Incident and accident reports
* Infection control resources including outbreak management records, vaccination records (staff and care recipient), hand washing information and surveillance data
* Information and records in compliance with regulatory requirements including, compulsory reporting records, microbial test results, fire safety records, food safety records, pest control records, evidence of criminal history checks and professional registrations
* Information system documentation: policies and procedures, handover record, communication diaries, memoranda, notices, survey contact lists
* Leisure and lifestyle documentation including assessments, care plans, activity lists, progress note reports, events folder, monthly program, consent forms
* Maintenance records system (electronic and paper-based) including preventative maintenance schedules, maintenance and approved supplier documentation, maintenance service reports, warm water test records, pest control reports, electrical testing records, re-active maintenance system
* Medication profiles and pharmacist reviews, charts and electronic system, pharmacy reports, schedule eight medication books, staff signature records, therapeutic monitoring charts, incident reports, patch application charts
* Meeting minutes
* Nutrition and hydration information including: special diets, thickened fluids and nutritional supplements, care recipient food and beverage preferences and allergies lists
* Physiotherapy folder containing assessments and pain management list.
* Self-assessment report for re-accreditation and associated documentation
* Spirituality documents including church lists, assessments and care plans, resources folder
* Work health and safety system documentation including staff accident and incident reports, risk assessment documentation, material safety data sheets, workplace inspection reports

## Observations

The assessment team observed the following:

* Care recipients participating in activities
* Charter of consumer rights
* Interactions between staff, care recipients and visitors
* Living environment
* Meal service
* Medication administration and secure storage
* Photographs of care recipients participating in theme day events and birthday celebrations
* Short group observation
* Wound trolley and supplies
* Australian Aged Care Quality Re-accreditation notices on display throughout the service.
* Clinical handover from staff.
* Mobility equipment in use including mechanical lifters, walk belts, wheel chairs, shower chairs, low-low beds and hand rails in corridors
* Equipment and supplies to prevent the spread of infection including; hand washing facilities and sanitisers, personal protective and colour coded equipment, spills kits, sharps containers, contaminated waste disposal, outbreak management kits
* Firefighting equipment, evacuation signs and designated smoking areas
* Infection control resources including vaccination records, hand washing facilities, hand sanitising gel, colour coded and personal protective equipment, sharps containers, spills kits, outbreak management supplies, pest control and waste management systems
* Information noticeboards: posters, notices, brochures and forms displayed for care recipients, representatives and staff, resident meeting minutes
* Staff room noticeboard with work health and safety information, rosters, incident reporting diagrams etc
* Staff work practices and work areas including administrative, clinical, lifestyle, catering, cleaning, laundry and maintenance

# Assessment of performance

This section covers information about the assessment of the approved provider’s performance, in relation to the service, against each of the expected outcomes of the Accreditation Standards.

## Standard 1 – Management systems, staffing and organisational development

### Principle:

Within the philosophy and level of care offered in the residential care services, management systems are responsive to the needs of care recipients, their representatives, staff and stakeholders, and the changing environment in which the service operates.

### 1.1 Continuous improvement

This expected outcome requires that “the organisation actively pursues continuous improvement”.

#### Assessment of the expected outcome

The service meets this expected outcome

The continuous improvement program includes processes for identifying areas for improvement, implementing change, monitoring and evaluating the effectiveness of improvements. Feedback is sought from care recipients, representatives, staff and other stakeholders to direct improvement activities. Improvement activities are documented on the plan for continuous improvement. Management uses a range of monitoring processes such as audits and quality indicators to monitor the performance of the service's quality management systems. Outcomes are evaluated for effectiveness and ongoing monitoring of new processes occurs. Care recipients, representatives, staff and other personnel are provided with feedback about improvements. During this accreditation period the organisation has implemented initiatives to improve the quality of care and services it provides. Recent examples of improvements in Standard 1 Management systems, staffing and organisational development are:

* May 2019 - Organisational upgrade of Wifi internet connectivity systems implemented for the whole service. Staff have reported that it is easier to manage their workload since the introduction of upgraded internet systems.
* May 2019- Audit identified chemicals without safety data sheets and chemicals no longer in use. Staff updated the service’s chemical register to reflect current chemical supplies and use. Chemical register has now been updated to reflect current situation

### 1.2 Regulatory compliance

This expected outcome requires that “the organisation’s management has systems in place to identify and ensure compliance with all relevant legislation, regulatory requirements, professional standards and guidelines”.

#### Assessment of the expected outcome

The service meets this expected outcome

The service has a system to identify relevant legislation, regulatory requirements and guidelines, and for monitoring these in relation to the Accreditation Standards. The organisation's management has established links with external organisations to ensure they are informed about changes to regulatory requirements. Where changes occur, the organisation updates policies and procedures where required and communicates changes to stakeholders as appropriate. Processes are in place to ensure compliance with regulatory requirements. Staff demonstrated awareness of legislation, regulatory requirements, professional standards and guidelines relevant to their roles. Relevant to Standard 1 Management systems, staffing and organisational development:

* Management is aware of their regulatory responsibilities in relation to police certificates and associated documentation.
* Care recipients and representatives were notified regarding this re-accreditation site audit within the required timeframe.
* Management has a plan for continuous improvement that shows improvements across the Accreditation Standards.
* Confidential documents are stored, archived and disposed of securely.
* There is information regarding internal and external complaint mechanisms and advocacy services.

There are systems to ensure these responsibilities are met.

### 1.3 Education and staff development

This expected outcome requires that “management and staff have appropriate knowledge and skills to perform their roles effectively”.

#### Assessment of the expected outcome

The service meets this expected outcome

The service's processes support recruitment of staff with knowledge and skills to perform their roles. New staff participate in an orientation that includes information about the organisation, key policies and procedures and equips them with mandatory skills for their role. Staff are scheduled to attend regular mandatory training; attendance is monitored and there are processes to manage non-attendance. There are opportunities for staff to participate in further education and self-directed learning through on-line education resources. The education program is monitored for effectiveness through attendance records, evaluation and observation of staff practice. Most care recipients and representatives interviewed are satisfied staff have the knowledge and skills to perform their roles. Staff are satisfied with the education and training provided. Examples of education and training provided in relation to Standard 1 Management systems, staffing and organisational development include: preventing bullying and harassment, code of conduct, person centred communication.

### 1.4 Comments and complaints

This expected outcome requires that "each care recipient (or his or her representative) and other interested parties have access to internal and external complaints mechanisms".

#### Assessment of the expected outcome

The service meets this expected outcome

There are processes to ensure care recipients, representatives and others are provided with information about and access to complaint mechanisms. Care recipients and others are supported to access these mechanisms as required. Facilities are available for submission of complaints anonymously to ensure privacy of those using complaints mechanisms. Complaints processes link with the service's continuous improvement system and where appropriate, complaints trigger reviews of and changes to the service's practices. The effectiveness of the comments and complaints system is monitored and evaluated by management. Results show complaints are considered and feedback is provided to complainants if requested. Management and staff demonstrated understanding of the complaints process and how they can assist care recipients and representatives with access. Care recipients/representatives and other interested people interviewed are aware of mechanisms available to them to provide feedback and are satisfied they can access these without fear of reprisal. Most care recipients said staff follow up when you raise things with them however, one said staff don’t always help them with their continence care when they request assistance.

### 1.5 Planning and Leadership

This expected outcome requires that "the organisation has documented the residential care service’s vision, values, philosophy, objectives and commitment to quality throughout the service".

#### Assessment of the expected outcome

The service meets this expected outcome

The organisation has documented the service's vision, philosophy, objectives and commitment to quality. This information is communicated to care recipients, representatives, staff and others through a range of documents and is displayed at the service.

### 1.6 Human resource management

This expected outcome requires that "there are appropriately skilled and qualified staff sufficient to ensure that services are delivered in accordance with these standards and the residential care service’s philosophy and objectives".

#### Assessment of the expected outcome

The service meets this expected outcome

There are systems and processes to ensure there are skilled and qualified staff to deliver services that meet the Accreditation Standards and the service's philosophy and objectives. Recruitment, selection and induction processes help to ensure staff have the required knowledge and skills to deliver services. Management monitors staffing levels and skill mix to ensure care recipients' needs are met and there are processes to address planned and unplanned leave. The service's monitoring, human resource and feedback systems help identify opportunities for improvement in relation to management of personnel. Staff interviewed said they have sufficient time to complete their work and meet care recipients' needs. Most care recipients interviewed agreed staff know what they are doing and the place is well run. However, one care recipient said the way the place is run is a bit out of touch with time.

### 1.7 Inventory and equipment

This expected outcome requires that "stocks of appropriate goods and equipment for quality service delivery are available".

#### Assessment of the expected outcome

The service meets this expected outcome

The service has processes to monitor stock levels, order goods and maintain equipment to ensure delivery of quality services. Goods and equipment are securely stored and, where appropriate, stock rotation occurs. Preventative maintenance and cleaning schedules ensure equipment is monitored for operation and safety. The service purchases equipment to meet care recipients' needs and maintains appropriate stocks of required supplies. Staff receive training in the safe use and storage of goods and equipment. Staff, care recipients/representatives interviewed are satisfied with the supply and quality of goods and equipment available at the service.

### 1.8 Information systems

This expected outcome requires that "effective information management systems are in place".

#### Assessment of the expected outcome

The service does not meet this expected outcome

The service was unable to demonstrate an effective system to ensure all stakeholders have access to appropriate and timely information about care recipient’s, including those with behaviours of concern. Failure to ensure information systems and processes are effectively implemented has resulted in key information not being collected, analysed and updated in a timely manner to improve care services and ensure care recipient and staff safety. Management and staff were unable to demonstrate a clear understanding of the services incident reporting requirements which has resulted in a departure from the services incident and complaint management reporting directives.

### 1.9 External services

This expected outcome requires that "all externally sourced services are provided in a way that meets the residential care service’s needs and service quality goals".

#### Assessment of the expected outcome

The service meets this expected outcome

The service has mechanisms to identify external service needs to achieve its quality goals. The service's expectations in relation to service and quality is specified and communicated to the external providers. The service has agreements with external service providers which outline minimum performance, staffing and regulatory requirements. There are processes to review the quality of external services provided and, where appropriate, action is taken to ensure the needs of care recipients and the service are met. Staff are able to provide feedback on external service providers. Care recipients/representatives and staff interviewed stated they are satisfied with the quality of externally sourced services.

## Standard 2 – Health and personal care

### Principle:

Care recipients’ physical and mental health will be promoted and achieved at the optimum level in partnership between each care recipient (or his or her representative) and the health care team.

### 2.1 Continuous improvement

This expected outcome requires that “the organisation actively pursues continuous improvement”.

#### Assessment of the expected outcome

The service meets this expected outcome

Refer to Expected outcome 1.1 Continuous improvement for information about the service's systems to identify and implement improvements. Recent examples of improvements in Standard 2 Health and personal care are:

* May 2019 - Palliative care education on the use of syringe drivers and pain management was delivered to registered nurses. Evaluation and outcome of this education was that the registered nurses felt more confident to use specified equipment for pain management and palliative care.
* May 2019- Education delivered to registered staff and catering staff regarding new guidelines in modified diets. Evaluation and outcome of education was well received by staff and a second training session has been booked.

### 2.2 Regulatory compliance

This expected outcome requires that “the organisation’s management has systems in place to identify and ensure compliance with all relevant legislation, regulatory requirements, professional standards and guidelines about health and personal care”.

#### Assessment of the expected outcome

The service meets this expected outcome

Refer to Expected outcome 1.2 Regulatory compliance for information about the service's systems to identify and ensure compliance with relevant regulatory requirements.

Relevant to Standard 2 Health and personal care:

* There are policies and procedures to ensure safe storage and administration of medication.
* Appropriately qualified and trained staff plan, supervise and undertake the provision of specialised nursing care.
* There are policies and procedures to follow in the event of a care recipient's unexplained absence.
* There are processes to ensure the currency of professional registrations for nursing staff.

There are systems to ensure these responsibilities are met.

### 2.3 Education and staff development

This expected outcome requires that “management and staff have appropriate knowledge and skills to perform their roles effectively”.

#### Assessment of the expected outcome

The service meets this expected outcome

The service has a system to monitor and ensure staff have the knowledge and skills to enable them to effectively perform their roles in relation to health and personal care. Refer to Expected outcome 1.3 Education and staff development for more information. Examples of education and training provided in relation to Standard 2 Health and personal care include: Bowel management, continence and hydration, pressure area care, syringe driver training, CPAP management and behaviour issues.

### 2.4 Clinical care

This expected outcome requires that “care recipients receive appropriate clinical care”.

#### Assessment of the expected outcome

The service meets this expected outcome

The service has mechanisms to ensure care recipients receive clinical care that is appropriate to their needs and preferences. Care needs are identified on entry and on an ongoing basis through a review and transfer of information, consultation with the care recipient and/or their representative and assessment processes. Individual care plans are developed by qualified staff and reviewed regularly. There are processes to ensure staff have access to current information to inform care delivery including care plans, progress notes and handovers. Care recipients' clinical care needs are monitored, evaluated and reassessed through incident analysis, reviews and feedback. The service regularly reviews and evaluates the effectiveness of the clinical care system and tools used. Changes in care needs are identified and documented; where appropriate, referrals are made to medical officers or health professionals. Staff provide care consistent with individual care plans. Care recipients/representatives interviewed are mostly satisfied with the clinical care being provided them however one commented that their need for assistance with continence is met some of the time.

### 2.5 Specialised nursing care needs

This expected outcome requires that “care recipients’ specialised nursing care needs are identified and met by appropriately qualified nursing staff”.

#### Assessment of the expected outcome

The service meets this expected outcome

Care recipients' specialised nursing care needs are identified through assessment processes on entry to the service. Care is planned and managed by appropriately qualified staff. This information, together with instructions from medical officers and health professionals is documented in the care plan. Specialised nursing care needs are reassessed when a change in care recipient needs occurs and on a regular basis. The service's monitoring processes identify opportunities for improvement in relation to specialised nursing care systems and processes. Staff have access to specialised equipment, information and other resources to ensure care recipients' needs are met. Specialised nursing care is delivered by appropriately qualified staff consistent with the care plan. Care recipients/representatives interviewed are satisfied with how specialised nursing care needs are managed.

### 2.6 Other health and related services

This expected outcome requires that “care recipients are referred to appropriate health specialists in accordance with the care recipient’s needs and preferences”.

#### Assessment of the expected outcome

The service meets this expected outcome

The service has systems to ensure care recipients are referred to appropriate health specialists in accordance with their needs and preferences. Health specialist directives are communicated to staff and documented in the care plan and care is provided consistent with these instructions. Staff practices are monitored to ensure care is in accordance with the care recipients' needs and preferences. Staff support care recipients to attend external appointments with health specialists. Care recipients/representatives interviewed are satisfied referrals for the care recipient are made to appropriate health specialists of their choice and staff carry out their instructions.

### 2.7 Medication management

This expected outcome requires that “care recipients’ medication is managed safely and correctly”.

#### Assessment of the expected outcome

The service meets this expected outcome

The service has systems to ensure care recipients' medication is managed safely and correctly. There are processes to ensure adequate supplies of medication are available and medication is stored securely and correctly. Medical officers prescribe and review medication orders and these are dispensed by the pharmacy service. Documented medication orders provide guidance to staff when administering or assisting with medications. Procedural guidelines provide clarification surrounding safe medication practices. The service's monitoring processes include reviews of the medication management system and analysis of medication incident data. Opportunities for improvement in relation to the medication management system are identified and addressed. Staff who administer or assist with medications receive education in relation to this. Care recipients and representatives interviewed are satisfied the care recipient's medications are provided as prescribed and in a timely manner.

### 2.8 Pain management

This expected outcome requires that “all care recipients are as free as possible from pain”.

#### Assessment of the expected outcome

The service meets this expected outcome

Care recipients' pain is identified through assessment processes on entry to the service and as needs change. Specific assessment tools are available for care recipients who are not able to verbalise their pain. Care plans are developed from the assessed information and are evaluated to ensure interventions remain effective. Medical officers and allied health professionals are involved in the management of care recipients' pain. The service's monitoring processes identify opportunities for improvement in relation to pain management systems and processes. Staff assess care recipients' verbal and non-verbal indicators of pain and implement appropriate actions, including utilising a range of strategies to manage comfort levels. Care recipients/representatives interviewed are satisfied the care recipient is as free as possible from pain.

### 2.9 Palliative care

This expected outcome requires that “the comfort and dignity of terminally ill care recipients is maintained”.

#### Assessment of the expected outcome

The service meets this expected outcome

The service has processes for identifying and managing care recipients' individual palliative care needs and preferences. Assessments are completed with the care recipient and/or representative to identify end of life care wishes and this information is documented in an end of life plan. The service uses a multidisciplinary approach that addresses the physical, psychological, emotional, cultural and spiritual support required by care recipients and their representatives. There is a supportive environment which provides comfort and dignity to the care recipient and their representatives. Care recipients remain in the service whenever possible, in accordance with their preferences. Referrals are made to medical officers, palliative care specialist teams and other health specialist services as required. Staff practices are monitored to ensure the delivery of palliative care is in accordance with the end of life plan. Care recipients/representatives interviewed are satisfied each care recipient's comfort, dignity and palliative care needs are maintained.

### 2.10 Nutrition and hydration

This expected outcome requires that “care recipients receive adequate nourishment and hydration”.

#### Assessment of the expected outcome

The service meets this expected outcome

Care recipients' nutrition and hydration requirements, preferences, allergies and special needs are identified and assessed on entry. Care recipients' ongoing needs and preferences are monitored, reassessed and care plans updated. There are processes to ensure catering and other staff have information about care recipient nutrition and hydration needs. Staff monitor care recipients' nutrition and hydration and identify those care recipients who are at risk. The service provides staff assistance, equipment, special diets and dietary supplements to support care recipients' nutrition and hydration. Staff have an understanding of care recipients' needs and preferences including the need for assistance, texture modified diet or specialised equipment. Staff practices are monitored to ensure nutrition and hydration needs are delivered in accordance with care recipients' needs and preferences. Care recipients/representatives interviewed are satisfied each care recipient's nutrition and hydration requirements are met.

### 2.11 Skin care

This expected outcome requires that “care recipients’ skin integrity is consistent with their general health”.

#### Assessment of the expected outcome

The service meets this expected outcome

Care recipients' skin care requirements, preferences and special needs are assessed and identified, in consultation with care recipients and/or representatives. Care plans reflect strategies to maintain or improve care recipients' skin integrity and are reviewed regularly. Skin care needs are monitored, evaluated and reviewed as required. Referral processes to other health specialists are available if a need is identified. The service's monitoring processes identify opportunities for improvement in relation to skin care; this includes a process for documenting and analysing incidents relating to skin integrity. Staff promote skin integrity through the use of moisturisers, pressure relieving devices, pressure area care and safe manual handling techniques. Care recipients/representatives interviewed are satisfied with the assistance provided to maintain the care recipient's skin integrity.

### 2.12 Continence management

This expected outcome requires that “care recipients’ continence is managed effectively”.

#### Assessment of the expected outcome

The service meets this expected outcome

Care recipients' continence needs and preferences are identified during the assessment process and reassessments occur as required. Strategies to manage care recipients' continence are documented in the care plan and regular evaluation occurs to ensure strategies remain effective. Care staff have an understanding of individual care recipients' continence needs and how to promote privacy when providing care. Changes in continence patterns are identified, reported and reassessed to identify alternative management strategies. Equipment and supplies such as continence aids are available to support continence management. The service's monitoring processes identify opportunities for improvement in relation to continence management; this includes the collection and analysis of data relating to infections. Staff are conscious of care recipients' dignity while assisting with continence needs. Care recipients/representatives interviewed are satisfied with the support provided to the care recipient in relation to continence management.

### 2.13 Behavioural management

This expected outcome requires that “the needs of care recipients with challenging behaviours are managed effectively”.

#### Assessment of the expected outcome

The service does not meet this expected outcome

The service failed to demonstrate effective systems and processes are implemented routinely to assess, review and manage care recipients with behaviours of concern. Behaviour care plans do not adequately describe behaviours of concern or identify specific triggers for behaviour. Strategies to reduce and manage behaviours of concern are limited and, in some cases, ineffective resulting in staff and care recipient safety being compromised. Information about incidents of verbal and physically aggressive behaviours affecting care recipients and staff are not routinely captured and reported on to improve services.

### 2.14 Mobility, dexterity and rehabilitation

This expected outcome requires that “optimum levels of mobility and dexterity are achieved for all care recipients”.

#### Assessment of the expected outcome

The service meets this expected outcome

Care recipients' mobility, dexterity and rehabilitation needs are identified through assessment processes and in consultation with the care recipient and/or their representative. Where a need is identified, referrals are made to medical officers and other health specialists, including physiotherapists. Strategies to manage care recipients' mobility and dexterity are documented in the care plan and are regularly evaluated and reviewed to ensure care recipients' needs are met. The service's monitoring processes identify opportunities for improvement in relation to mobility, dexterity and rehabilitation, including the collection and analysis of data relating to accidents and incidents. Associated programs are delivered by appropriately skilled staff, consistent with the care plan. Care recipients/representatives interviewed are satisfied with the support provided to the care recipient for achieving optimum levels of mobility and dexterity.

### 2.15 Oral and dental care

This expected outcome requires that “care recipients’ oral and dental health is maintained”.

#### Assessment of the expected outcome

The service meets this expected outcome

Care recipients' oral and dental health needs are identified through assessment processes and in consultation with the care recipient and/or their representative. Care strategies are documented on the care plan and are regularly evaluated and reviewed to ensure care recipients' changing needs are met. The service's monitoring processes identify opportunities for improvement in relation to oral and dental management systems and processes, including clinical monitoring processes and consultation. Equipment to meet care recipients' oral hygiene needs is available. Staff provide assistance with oral and dental care and where necessary referrals are made to health specialists such as dentists. Care recipients/representatives interviewed are satisfied with the assistance given by staff to maintain the care recipient's teeth, dentures and overall oral hygiene.

### 2.16 Sensory loss

This expected outcome requires that “care recipients’ sensory losses are identified and managed effectively”.

#### Assessment of the expected outcome

The service meets this expected outcome

Sensory losses are identified through assessment processes and in consultation with care recipients and/or their representative. Care plans identify individual needs and preferences and are reviewed regularly. Care recipients are referred to health specialists, such as audiologists and optometrists, according to assessed need or request and are assisted to attend appointments as required. The service's monitoring processes identify opportunities for improvement in relation to how sensory loss is managed, including clinical monitoring processes and consultation with care recipients, representatives and health professionals. Staff receive instruction in the correct use and care of sensory aids and are aware of the assistance required to meet individual care recipients' needs. Care recipients/representatives interviewed are satisfied with the support provided to manage care recipient sensory needs.

### 2.17 Sleep

This expected outcome requires that “care recipients are able to achieve natural sleep patterns”.

#### Assessment of the expected outcome

The service meets this expected outcome

Care recipients' sleep patterns, including settling routines and personal preferences, are identified through assessment processes on entry. Care plans are developed and generally reviewed to ensure strategies to support natural sleep remain effective and reflect care recipients' needs and preferences. Care recipients experiencing difficulty sleeping are offered a range of interventions to promote sleep; where appropriate medical officers are informed of sleep problems. Environmental and clinical monitoring processes identify opportunities for improvement in relation to sleep management. Staff support care recipients when normal sleep patterns are not being achieved. Care recipients/representatives interviewed are satisfied support is provided to the care recipient and they are assisted in achieving natural sleep patterns.

## Standard 3 – Care recipient lifestyle

### Principle:

Care recipients retain their personal, civic, legal and consumer rights, and are assisted to achieve control of their own lives within the residential care service and in the community.

### 3.1 Continuous improvement

This expected outcome requires that “the organisation actively pursues continuous improvement”.

#### Assessment of the expected outcome

The service meets this expected outcome

Refer to Expected outcome 1.1 Continuous improvement for information about the service's systems to identify and implement improvements. Recent examples of improvements in Standard 3 Care recipient lifestyle are:

* December 2018- decaffeinated tea and coffee made available to all care recipients following a request by care recipients. Whilst outcomes from the evaluation of the usage of this tea and coffee reported that care recipients used this minimally- it is still provided for care recipients to address exercising their choice and decision making.
* November 2019- aquariums provided for care recipients common areas with outcome and evaluation of this reporting that care recipients found it relaxing and enjoyed watching the fish in the aquariums.

### 3.2 Regulatory compliance

This expected outcome requires that “the organisation’s management has systems in place to identify and ensure compliance with all relevant legislation, regulatory requirements, professional standards and guidelines, about care recipient lifestyle”.

#### Assessment of the expected outcome

The service meets this expected outcome

Refer to Expected outcome 1.2 Regulatory compliance for information about the service's systems to identify and ensure compliance with relevant regulatory requirements.

Relevant to Standard 3 Care recipient lifestyle:

* Management offers a residential agreement to each care recipient or his or her representative on entry to the service.
* Management provides information on care recipient rights’ and responsibilities, security of tenure and specified care and services to each care recipient or his or her representative on entry to the service.
* There are documented processes to ensure management and staff take appropriate actions including reporting requirements in the event of suspected elder abuse.

There are systems to ensure these responsibilities are met.

### 3.3 Education and staff development

This expected outcome requires that “management and staff have appropriate knowledge and skills to perform their roles effectively”.

#### Assessment of the expected outcome

The service meets this expected outcome

The service has a system to monitor and ensure staff have the knowledge and skills to enable them to effectively perform their roles in relation to care recipient lifestyle. Refer to Expected outcome 1.3 Education and staff development for more information. Examples of education and training provided in relation to Standard 3 Care recipient lifestyle include: prevention and management of elder abuse, reportable conduct, bereavement support and consumer choice.

### 3.4 Emotional support

This expected outcome requires that "each care recipient receives support in adjusting to life in the new environment and on an ongoing basis".

#### Assessment of the expected outcome

The service meets this expected outcome

Care recipients' emotional needs are identified on entry and on an ongoing basis. Processes to assist care recipients include the provision of information prior to entering the service, support during the settling in period, involvement of family and significant others and a lifestyle plan that meets care recipient needs and preferences. Emotional support is provided to care recipients on an ongoing basis based on their identified need; concerns relating to emotional health are referred to appropriate support services. The service's monitoring processes, including feedback and care reviews, identify opportunities for improvement in relation to the emotional support provided. Staff engage with care recipients and support emotional wellbeing in accordance with care recipient preferences. Care recipients interviewed are generally satisfied they are supported on entry to the service and on an ongoing basis, including times of personal crisis.

### 3.5 Independence

This expected outcome requires that "care recipients are assisted to achieve maximum independence, maintain friendships and participate in the life of the community within and outside the residential care service".

#### Assessment of the expected outcome

The service meets this expected outcome

Care recipients' needs and preferences are assessed on entry and on an ongoing basis to ensure there are opportunities to maximise independence, maintain friendships and participate in the life of the community. Consideration is given to sensory and communication needs as an element of this process. Strategies to promote care recipients' independence are documented in the care plan and are evaluated and reviewed to ensure they remain current and effective. The living environment is monitored and equipment is available to ensure care recipients' independence is maximised. The service's monitoring processes, including feedback, and environmental and care reviews, identify opportunities for improvement in relation to care recipient independence. Staff are familiar with the individual needs of care recipients. Most care recipients/representatives interviewed are satisfied with the information and assistance provided to them to achieve independence. One representative said physiotherapy services are good but staff don’t always encourage independence

### 3.6 Privacy and dignity

This expected outcome requires that "each care recipient’s right to privacy, dignity and confidentiality is recognised and respected".

#### Assessment of the expected outcome

The service meets this expected outcome

Care recipients' preferences in relation to privacy, dignity and confidentiality are identified on entry and on an ongoing basis to ensure these needs are recognised and respected. Strategies for ensuring privacy and dignity are planned and implemented; this information is documented in the care plan. The service's monitoring processes, including feedback, meetings and care reviews, identify opportunities for improvement in relation to the service's privacy, dignity and confidentiality systems and processes. Staff have received education in relation to privacy, dignity and confidentiality and their practices support this. Care recipients interviewed are generally satisfied staff treat them with respect and they feel safe and secure. One care recipient said they feel safe some of the time but were unable to provide details about their response.

### 3.7 Leisure interests and activities

This expected outcome requires that "care recipients are encouraged and supported to participate in a wide range of interests and activities of interest to them".

#### Assessment of the expected outcome

The service meets this expected outcome

Care recipients' interests and activities of choice are identified on entry; barriers to participation, past history, and cultural and spiritual needs are recognised. This information is documented and regularly updated to inform staff of care recipients' current preferred leisure choices. A varied program of activities is available and is reviewed and evaluated to ensure it continues to meet the needs and preferences of care recipients. The activities program respects care recipients' varied needs and includes group, one-on-one and community activities. Staff encourage and support care recipient participation. Care recipients are satisfied with activities and confirm they are supported to participate in activities of interest to them.

### 3.8 Cultural and spiritual life

This expected outcome requires that "individual interests, customs, beliefs and cultural and ethnic backgrounds are valued and fostered".

#### Assessment of the expected outcome

The service meets this expected outcome

Individual care recipients' customs, beliefs and cultural and ethnic backgrounds are identified on entry through consultation with the care recipient and their representatives. Relevant information relating to care recipients' cultural and spiritual life is documented in care plans which are regularly evaluated and reviewed. The service has a chaplain that is onsite five days a week for pastoral support and the service has access to interpreters and community groups and provision is made for the observation of special days. Care recipients' cultural and spiritual needs are considered in meal planning and the facilitation of leisure activities. The service's monitoring processes identify opportunities for improvement in relation to the way care recipients' cultural and spiritual life is valued and fostered. Staff support care recipients to attend and participate in activities of their choice. Care recipients/representatives interviewed confirmed the care recipient's customs and beliefs are respected.

### 3.9 Choice and decision making

This expected outcome requires that "each care recipient (or his or her representative) participates in decisions about the services the care recipient receives, and is enabled to exercise choice and control over his or her lifestyle while not infringing on the rights of other people".

#### Assessment of the expected outcome

The service meets this expected outcome

The service has processes to ensure care recipients and their representatives are provided with information about their rights and responsibilities on entry to the service and on an ongoing basis. The service assesses each care recipients' ability to make decisions and identifies authorised representatives where care recipients are not able to make decisions for themselves. Staff are provided with information about care recipients' rights and responsibilities and provide opportunities for the care recipient to exercise choice and make decisions when providing care and services. Staff practices are monitored to ensure care and services delivered are in line with the choices and preference of care recipients. Staff demonstrated their understanding of care recipients' rights to make choices and how to support them in their choices. Care recipients are satisfied they can participate in decisions about the care and services they receive and that staff respect their choices.

### 3.10 Care recipient security of tenure and responsibilities

This expected outcome requires that "care recipients have secure tenure within the residential care service and understand their rights and responsibilities".

#### Assessment of the expected outcome

The service meets this expected outcome

Care recipients and representatives are provided with information about care recipients' rights and responsibilities, the terms and conditions of their tenure, any limitations to care provision within the service, fees and charges and information about complaints when they enter the service. Changes to care recipients' security of tenure or rights and responsibilities are communicated to care recipients and/or their representative. If a change in care recipient health requires a room change or transfer to another service, this is discussed with the care recipient and/or their representative and managed in accordance with legislative requirements. The service's monitoring processes, including feedback, meetings and care reviews, identify opportunities for improvement in relation to care recipient rights, responsibilities and security of tenure. Staff demonstrated understanding of care recipient rights. Care recipients/representatives interviewed said they understand their rights and responsibilities and are satisfied with their tenure within the service.

## Standard 4 – Physical environment and safe systems

### Principle:

Care recipients live in a safe and comfortable environment that ensures the quality of life and welfare of care recipients, staff and visitors.

### 4.1 Continuous improvement

This expected outcome requires that “the organisation actively pursues continuous improvement”.

#### Assessment of the expected outcome

The service meets this expected outcome

Refer to Expected outcome 1.1 Continuous improvement for information about the service's systems to identify and implement improvements. Recent examples of improvements in Standard 4 Physical environment and safe systems are:

* Dec 2018- Handwashing competencies delivered to all staff. All staff are now evaluated as competent in handwashing competencies to comply with safety and infection control regulations.
* July 2018- Upgrading of security cameras to improve care recipient and staff safety after hours.

### 4.2 Regulatory compliance

This expected outcome requires that “the organisation’s management has systems in place to identify and ensure compliance with all relevant legislation, regulatory requirements, professional standards and guidelines, about physical environment and safe systems”.

#### Assessment of the expected outcome

The service meets this expected outcome

Refer to Expected outcome 1.2 Regulatory compliance for information about the service's systems to identify and ensure compliance with relevant regulatory requirements.

Relevant to Standard 4 Physical environment and safe systems:

* There are infection control policies and a system for managing and reporting outbreaks.
* There is a food safety program that is regularly reviewed.
* There is a system to ensure compliance with fire safety regulations.
* Management supports an active workplace health and safety program.
* Safety data sheets are available where chemicals are stored.

In relation to the service's vaccination program:

* The service provides service staff with free access to annual flu vaccinations;
* The service actively promotes the benefits of the annual vaccination for their staff and volunteers; and
* The service keeps records of the number of staff who have received the vaccine every year.

### 4.3 Education and staff development

This expected outcome requires that “management and staff have appropriate knowledge and skills to perform their roles effectively”.

#### Assessment of the expected outcome

The service meets this expected outcome

The service has a system to monitor the knowledge and skills of staff members and enable them to effectively perform their role in relation to physical environment and safe systems. Refer to Expected outcome 1.3 Education and staff development for more information. Examples of education and training provided in relation to Standard 4 Physical environment and safe systems include: Fire safety and evacuation, infection control, hand hygiene, move for life

### 4.4 Living environment

This expected outcome requires that "management of the residential care service is actively working to provide a safe and comfortable environment consistent with care recipients’ care needs".

#### Assessment of the expected outcome

The service meets this expected outcome

The service's environment reflects the safety and comfort needs of care recipients, including comfortable temperatures, noise and light levels, appropriate furniture and fixtures, safe and easy access to internal and external areas. The safety and comfort of the living environment is assessed and monitored through feedback from meetings, surveys, incident and hazard reporting, audits and inspections. There are appropriate preventative and routine maintenance programs for buildings, furniture, equipment and fittings. Staff support a safe and comfortable environment through hazard, incident and maintenance reporting processes. Care recipients and representatives interviewed are satisfied the living environment is safe and comfortable.

### 4.5 Occupational health and safety

This expected outcome requires that "management is actively working to provide a safe working environment that meets regulatory requirements".

#### Assessment of the expected outcome

The service meets this expected outcome

There are processes to support the provision of a safe working environment, including policies and procedures, staff training, routine and preventative maintenance and incident and hazard reporting mechanisms. Opportunities for improvement in the occupational health and safety program are generally identified through audits, inspections, supervision of staff practice, and analysis of incident and hazard data. Supplies and equipment are available to support staff in their work and minimise health and safety risks. Staff are provided with opportunities to have input to the service's workplace health and safety program and demonstrated understanding of safe work practices. Staff were observed to carry out their work safely and are satisfied management is actively working to provide a safe working environment.

### 4.6 Fire, security and other emergencies

This expected outcome requires that "management and staff are actively working to provide an environment and safe systems of work that minimise fire, security and emergency risks".

#### Assessment of the expected outcome

The service meets this expected outcome

There are systems and processes to ensure staff are provided with access to resources about fire, security and other emergencies including an emergency evacuation plan. Staff are educated and trained in fire, security and other emergencies when they commence work at the service and on an ongoing basis. Emergency equipment is inspected, maintained and the environment is monitored to minimise potential risks. Staff demonstrated understanding of their roles and responsibilities in the event of a fire, security breach or other emergency and there are routine security measures. Care recipients/representatives interviewed feel safe and secure in the service; they are also satisfied that staff will assist them in emergencies.

### 4.7 Infection control

This expected outcome requires that there is "an effective infection control program".

#### Assessment of the expected outcome

The service meets this expected outcome

The service has processes to support an effective infection control program. The infection control program includes regular assessment of care recipients' clinical care needs in relation to current infections, susceptibility to infections and prevention of infections. Staff and management follow required guidelines for reporting and management of notifiable diseases. Care plans describe specific prevention and management strategies. The service's monitoring processes identify opportunities for improvement in relation to infection control; this includes observation of staff practices, analysis of clinical and infection data and evaluation of results. Preventative measures used to minimise infection include staff training, a food safety program, cleaning regimes, vaccination programs, a pest control program, waste management and laundry processes. Staff are provided with information about infections at the service and have access to policies and procedures and specific equipment to assist in the prevention and management of an infection or outbreak. Care recipients/representatives and staff interviewed are satisfied with the prevention and management of infections.

### 4.8 Catering, cleaning and laundry services

This expected outcome requires that "hospitality services are provided in a way that enhances care recipients’ quality of life and the staff’s working environment".

#### Assessment of the expected outcome

The service meets this expected outcome

The service identifies care recipients' needs and preferences relating to hospitality services on entry to the service through assessment processes and consultation with the care recipient and their representatives. There are processes available that support care recipients to have input into the services provided and the manner of their provision. The service's monitoring processes identify opportunities for improvement in relation to the hospitality services provided; this includes feedback from care recipients and representatives and monitoring of staff practice. Hospitality staff interviewed said they readily have access to information about care recipient preferences and receive feedback about services provided. Staff are satisfied the hospitality services enhance the working environment. Most care recipients/representatives interviewed are satisfied the hospitality services meet their needs and preferences. One care recipient said they like the food some of the time but did not give a reason why. One representative said their relative doesn’t always get a choice but this is due to clinical and dietary needs.